



LLOYDS BANK

SENIOR COMPLIANCE MANAGER

Lloyds Bank GmbH - Amsterdam

Lloyds Bank GmbH is onderdeel van Lloyds Banking Group, de grootste bankengroep van het Verenigd Koninkrijk. De Nederlandse vestiging, met ruim 160 medewerkers, is anders dan andere Nederlandse banken. Dat merk je aan onze eigenzinnige, gedurfde visie op de markt. Aan onze innovatieve producten en diensten. En natuurlijk aan de korte lijnen voor onze klanten. Lloyds Bank doet jaarlijks mee aan de Great Place To Work survey, waarbij we een plek op de lijst van 'Best Workplaces' verdienen!

Voor de afdeling Compliance, zijn we op zoek naar een **Senior Compliance Manager**

Gezien het internationale karakter van de functie hebben wij de functieomschrijving in het Engels opgesteld.

THE ROLE

Reporting to the Lead Risk Partner, the Senior Compliance Manager is responsible for ensuring Compliance in a wide range of non-financial risks within the business, within risk appetite and in accordance with the Group (Operational) Risk Management Framework, the Group Policy Framework and local Netherlands law and regulations.

KEY RESPONSIBILITIES

Manage, Coordinate and Develop

The role holder is responsible for setting priorities in regards to daily activities in a self-driven manner. Additionally, business departments shall be provided with advice and coordination internally as well as externally to the extent that controls and their results are required to be explained and justified and a change in colleagues behaviour (where necessary) shall be achieved. It is essential that the role holder is providing the subject matter expertise for at least two areas.

Risk Management

The role holder is predominantly performing high-complexity tasks in a high-materiality/ high-risk-exposure policies. This includes but is not limited to

- Apply and adhere to the Risk Management Framework and Conduct, Compliance and Operational Risk Management Handbook
- Identify, assess, manage, monitor and report about risks
- Apply and adhere to the Compliance Framework and Compliance Risk Assessment Framework
- Maintain and execute the local Compliance Plan and monitoring plan and enhance the compliance process
- Identify legal and regulatory developments and inform internal stakeholders
- Translate the Group Compliance Policy and Dutch law and regulations into local processes and procedures
- Provide advice on potential regulatory risks to new product initiatives, projects and changes
- Raise internal awareness on regulatory risks and develop and provide relevant trainings
- Establish and maintain the relationship with the Dutch Banking Association

Group Policies

The role holder is responsible for the implementation of a number of high-materiality/ high-risk-exposure policies and the performance of allocated controls. Additionally, the role holder is independent in his/ her thinking as to how regulatory and policy requirements shall be implemented. This includes the performance of gap analysis against local legislation and initiate waiver/ exception process as and when required.

Project / Change Management

It is required that the role holder may regularly pick up responsibilities from a change perspective to lead projects independently. In this, the role holder acts as a representative for the department in cross functional projects, maintain and develop relationships with other financial institutions/regulators or 3rd party suppliers.

Stakeholder Management

It is expected that the role holder can independently communicate with colleagues up to Head of level and beyond and propose final solutions for problems. Any communication will be done in (fluent) English.

KEY CAPABILITIES, KNOWLEDGE AND SKILLS

- A University Master's degree in law, economics or equivalent
- Risk Management or Certified Compliance Officer qualification or equivalent is preferred
- Background in banking and specifically in mortgages
- At least > 7 years relevant experience in a risk or compliance function
- Knowledge of Dutch financial services related law and regulations
- Knowledge of the Dutch mortgage market
- Good analytical skills
- Ability to translate theory into practice, develop operational policies and procedures and ensure that these are understood and implemented
- Good communication skills, both verbal and in writing (Dutch and English), and ability to demonstrate these skills effectively across all levels of the organisation
- Good networking and influencing skills
- Ability to get things done under pressure, hands-on mentality and pro-active
- Self-motivated, autonomous, and decisive
- Good planning, prioritisation and organisation skills
- Organisational acumen
- Self-knowledge, keen on improving and change-oriented

WE OFFER

A full-time role (40 hours) with a salary in line with the market and excellent secondary employee benefits. Do you recognize yourself? Then we would like to meet you digitally! Send your CV and motivation letter in English to recruitment@lloydsbank.nl